



#38

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroshi Kobata et al.

Art Unit : Unknown

Serial No. : 09/904,563 /

Examiner : Unknown

Filed : July 16, 2001

Title : CONTROLLING AND MANAGING DIGITAL ASSETS

**BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed August 29, 2001 (copy enclosed), applicant, as a small entity entitled to small entity status, submits herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of the additional dependent claims fees of \$495;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ Payment of \$720 for the fee required to extend the period for response for four months to and including February 28, 2002,
- ☒ A check in the total amount of \$1650 is attached;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Replacement drawings that comply with the size and margin requirements and that are clear and in permanent ink. The replacements contain the same material as the drawings on deposit.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

03/04/2002 JBALINAN 00000197 09904563

Respectfully submitted,

01 FC:218

720.00 DP

Date: 2/28/02

  
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FEB 28 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/904,563	07/16/2001	Hiroshi Kobata	11365-043002

CONFIRMATION NO. 2322

## FORMALITIES LETTER



\*OC000000006493470\*

JOHN F. HAYDEN  
Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005

Date Mailed: 08/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$495.
  - \$495 for 55 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 915.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/04/2002 JBALINAN 00000196 09904563

01 FC:201	370.00 OP
02 FC:203	495.00 OP
03 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

*Sue Li*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]